

*PA*  
**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

*Section*  
*HP*  
**Attorney Docket No. 033337/0109**



Applicant: Lee Daniel FEINBERG  
Title: AN OPTICAL NETWORK ARCHITECTURE WITH REDUCED EQUIPMENT  
Appl. No.: 09/840,296  
Filing Date: April 24, 2001  
Examiner: Not Yet Assigned  
Art Unit: 2633

**TRANSMITTAL OF MISSING PARTS**  
**OF PATENT APPLICATION**

Commissioner for Patents  
Washington, D.C. 20231  
Attn: BOX MISSING PARTS

Sir:

This is in reply to the Notice to File Missing Parts of Application mailed on June 19, 2001 in the above-identified application. Transmitted herewith are the missing parts needed to complete the filing of the subject patent application.

Enclosed are:

- Declaration and Power of Attorney (3 pages)
- Copy of Notice to File Missing Parts
- Five sheets of Formal Drawings (Figures 1-5) with Transmittal
- Check in the amount of \$465.00 in payment of the \$355.00 basic filing fee, the \$45.00 fee for claims in excess of twenty, and the \$65.00 surcharge fee (37 C.F.R. § 1.16(e)).

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Assistant Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

August 17, 2001

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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/840,296         | 04/24/2001          | Lee Daniel Feinberg   | 033337/0109            |



Johnny A. Kumar  
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CONFIRMATION NO. 4378

## FORMALITIES LETTER



\*OC000000006198224\*

Date Mailed: 06/19/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$90.
  - \$90 for 5 total claims over 20.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 930.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

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| 01 FC:201 | 355.00 0P |
| 02 FC:205 | 65.00 0P  |
| 03 FC:203 | 45.00 0P  |

*MA*  
*A copy of this notice MUST be returned with the reply.*

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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE